



# HIV & Inflammation: Clues to Prevention Paradox

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# Inflammation: Modulator of Mucosal Defense

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- What is inflammation?
- What role does it play in HIV prevention?
- Is it friend or foe?
- Can it be exploited to promote protection?

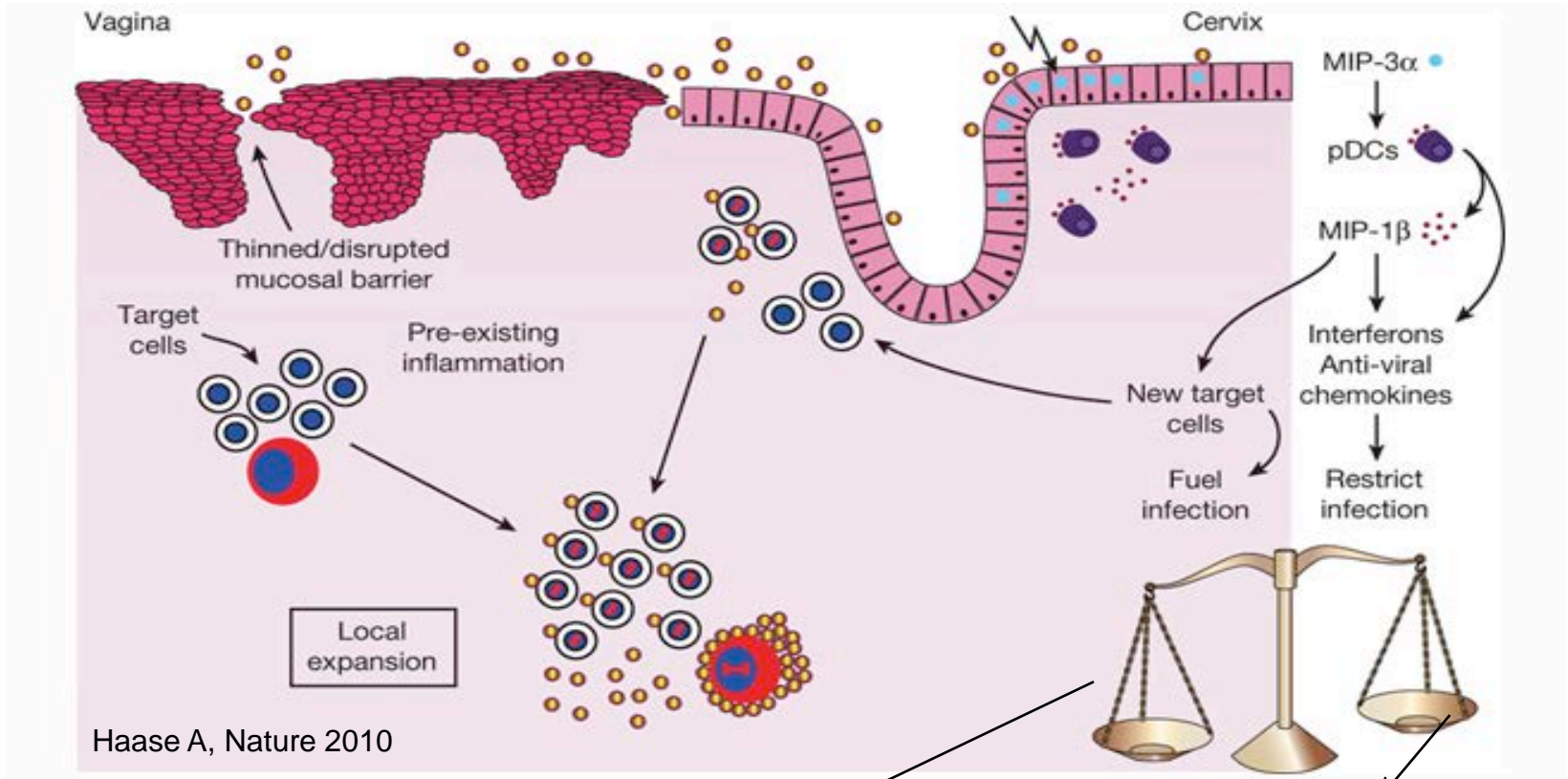


# What is inflammation?

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- **Protective** tissue response marked by recruitment of WBCs, release of cytokines, chemokines, and antimicrobial proteins
- Serves to eliminate offending agent and damaged tissue
- Chronic inflammation associated with HIV progression

# Inflammation and HIV Acquisition



Haase A, Nature 2010

Transmitting viral load  
 Stage of HIV infection  
 Virulence  
 Tropism (R5>X4)  
 Target cells (#; activation)

Epithelial barrier  
 Protective mediators  
 Microbiota  
 Host genetics (CR5Δ32)

# Mucosal Mediators of “Inflammation”

## Protective or Facilitators of HIV

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- Protective:
  - Directly inhibit HIV infection
  - Maintain epithelial barrier
  - Promote healthy vaginal flora
  - Promote innate immune responses
- Facilitators:
  - Recruit and activate immune target cells
  - Activate NFκB pathways to promote HIV replication
  - Disrupt epithelial barrier
  - Interfere with innate responses

# Cytokines/Chemokines

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- Activators: Enhance HIV infection
  - $\text{TNF}\alpha$ , IL-1, IL-6, IL-12, chemokines
    - Activate NF- $\kappa$ B, which binds to HIV LTR to initiate or increase viral transcription.
    - Recruit and activate immune target cells
- Suppressors: Inhibit HIV infection
  - $\text{IFN}\alpha$ : Antiviral activity, suppresses RT
  - RANTES,  $\text{MIP1}\alpha$ ,  $\text{MIP1}\beta$ : inhibit co-receptor binding
  - IL-10: Inhibits HIV replication
  - IL-13: Down modulates CCR5 expression



# Antimicrobial proteins

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- Defensins:
  - Inhibit HIV in vitro (HBDs and HNP1-3)
  - BUT also recruit immune cells and induce inflammatory responses
- SLPI
  - Anti-inflammatory
  - Direct antiviral activity (?)
  - Higher levels associated with reduced HIV acquisition/transmission
- Lactoferrin
  - Direct inhibitory activity in vitro
  - BUT “alarmin”: recruits and activates immune cells



# Mucosal inflammation and HIV

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- Increased risk of transmission
  - IL-1 $\beta$  and IL-8 associated with higher cervicovaginal HIV-1 RNA concentrations, even after controlling for plasma viral load and vaginal microbial cofactors
- Increased risk of acquisition
  - Higher viral set point
  - Lower CD4 count





# Factors associated with ↑ HIV Risk

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- Sex
- STI
  - HSV, HPV, Bacterial STD, Trichomonas
- BV
  - Decrease SLPI
  - Increase IL-1 $\beta$
- Pregnancy
- Adolescents
- Depot medroxyprogesterone



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# Sex/Semen and Mucosal Inflammation

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- Buffers protective acidic pH
- Enriched in cytokines/chemokines
- Triggers MIP-3 $\alpha$ , GM-CSF, MCP-1, IL-6, and IL-8 from genital tract epithelial cells
- Induces TNF $\alpha$

Sharkey DJ et al Mol Hum Reprod. 2007;13(7):491-501.

Berlier W et al Hum Reprod. 2006;21(5):1135-42.

Lisco, A et al JID 2012; 1:97-105

# Sex Increases Immune Targets

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- Women who had sex within 3 days had higher cervical CD3+ ( $76 \pm 4\%$ ) and CD4+ T lymphocytes ( $58 \pm 6\%$ ) compared to women who last had sex  $>3$  days prior to evaluation (CD3+  $54 \pm 6\%$ , CD4+  $39 \pm 4\%$ ).



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# HPV

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- HPV associated with increased HIV risk\*
- ? Mechanisms
- Compared concentrations of immune mediators in CVL from HIV-negative women with high risk HPV positive (HRHPV+) CIN-3 (n=37), HRHPV+ CIN-1 (n=12), or PAP negative controls (n=57).



# Cervical Dysplasia Associated with Lower “Protective” and “Higher” Inflammatory Mediators

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- **BV**
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# Bacterial vaginosis and HIV acquisition: a meta-analysis of published studies

Julius Atashili<sup>a,b</sup>, Charles Poole<sup>a</sup>, Peter M. Ndumbe<sup>b</sup>,  
Adaora A. Adimora<sup>a</sup> and Jennifer S. Smith<sup>a</sup>

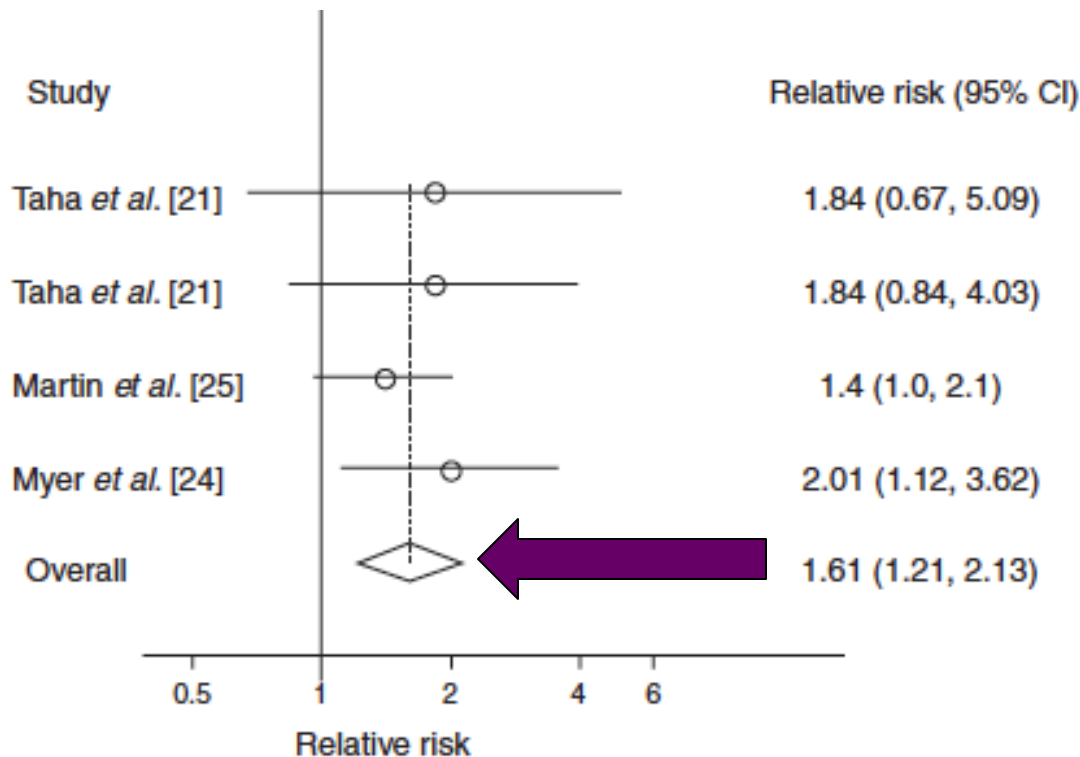


Fig. 1. Forest plot of relative risk estimates of incident HIV infection by bacterial vaginosis status, stratified by HIV-risk group. Studies are identified by the references. The horizontal lines represent the 95% confidence intervals (CI). Overall heterogeneity  $P = 0.7$ .

## • Possible mediators

- Loss of H<sub>2</sub>O<sub>2</sub> (directly virucidal)
- Activation of CD4 by alkaline pH
- **Upregulation of cytokines that promote local HIV replication (TNF-alpha, IL-1 beta)**
- Direct stimulation of HIV expression from T cells/monocytes by BV-associated bacteria

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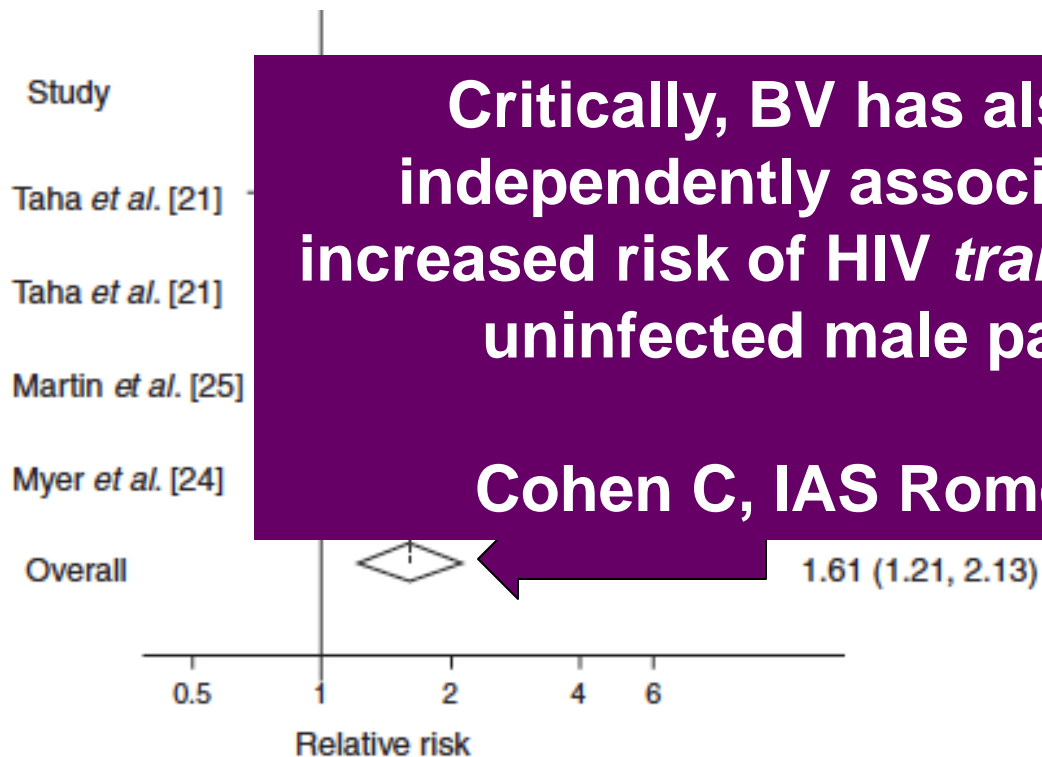


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## • Possible mediators

– Loss of H<sub>2</sub>O<sub>2</sub>

– (virucidal)  
– reduction of CD4  
– change in pH  
– alteration of  
– presence of  
– local HIV  
– replication (TNF-  
alpha, IL-1 beta)

– Direct stimulation  
of HIV expression  
from T  
cells/monocytes by  
BV-associated  
bacteria



# Factors associated with ↑ HIV Risk

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- Sex
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# Pregnancy Associated with ↓ HBDs

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and Higher “Inflammatory” Cytokines

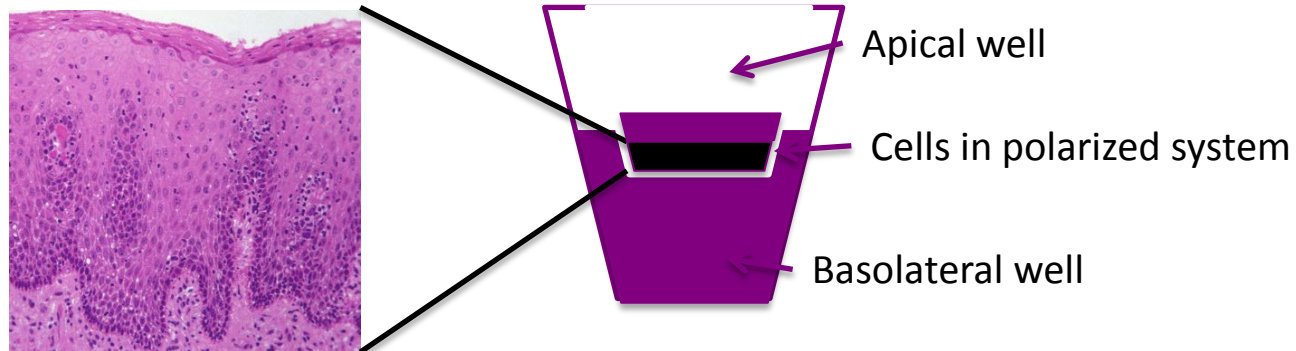
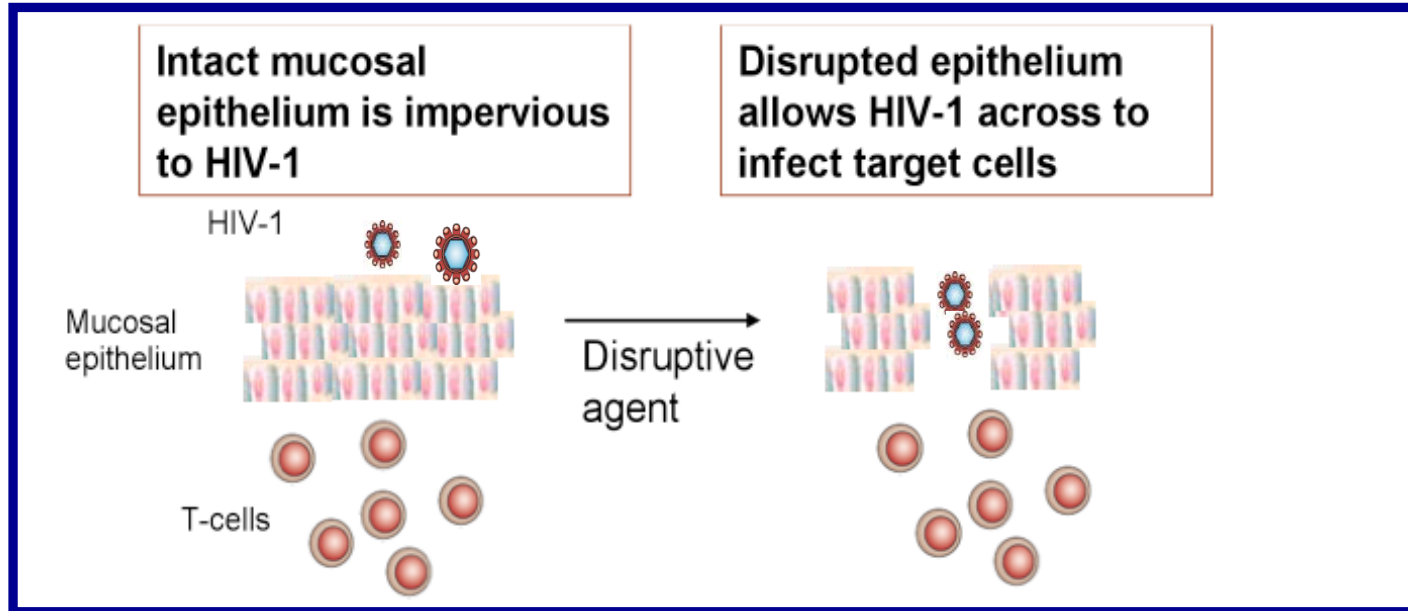
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# Measurement individual mediators may not capture complex interactions

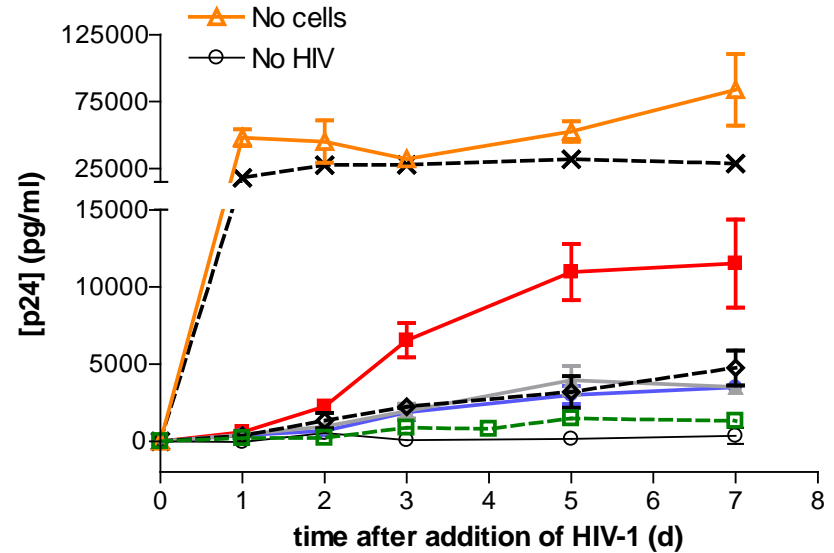
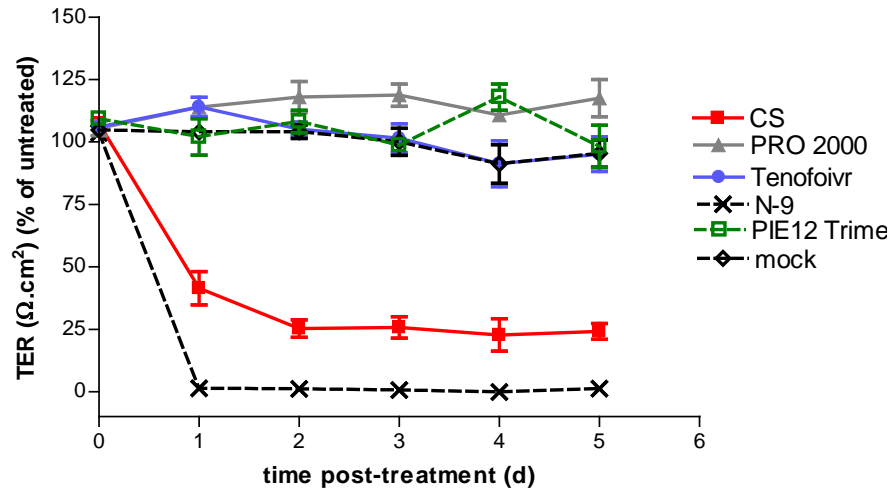
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- “Functional assays”
  - Measure antimicrobial activity of secretions collected by swab or lavage
    - HIV
      - ??
    - HSV-2
      - Correlates with concentrations of HNP1-3, IL-8, Lf
    - E.coli
      - Proteomic studies suggest that this activity is mediated by host proteins and proteins secreted by Lactobacillus

# Inflammatory Cytokines May Disrupt the Epithelial Barrier



# Microbicides may disrupt this barrier directly or by increasing inflammatory cytokines







# Putting it all together..

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- Factors associated with increased HIV risk characterized by increases in inflammatory cytokines, increase in activated immune target cells, and lower levels of protective mediators
- Similar mucosal environment observed prior to HIV seroconversion; higher inflammatory mediators associated with higher viral set point
  - CAPRISA 002
  - CAPRISA 004

# But this is only a snap-shot

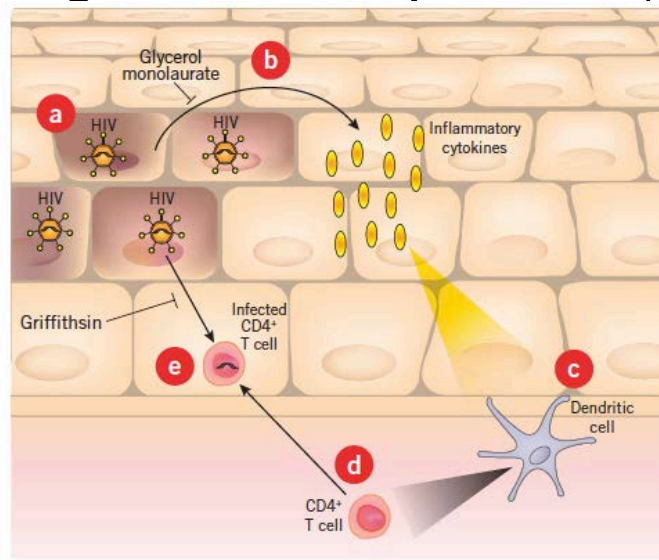
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- Association is not causality
- Inflammatory signaling cascades are complex
- Some inflammation is protective
  - Primes innate immune responses
- Too much may increase HIV risk
  - MTN 001 data
    - Higher levels in U.S. vs. Durban participants
    - Lower levels after 6 wks vaginal TFV
    - Is this protective or facilitating HIV infection??

# Interventions?

- Directly block inflammation
  - Must be fine-tuned
  - Not disrupt ability of mucosa to respond appropriately to other pathogens
    - Ex. Glycerol monolaurate blocks DC/T cell recruitment by blocking MIP3a responses (Haase et al)





# Interventions

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- Treat/prevent underlying causes
  - STD rx efforts have failed to reduce risk of HIV transmission or acquisition
  - May reflect persistent inflammation
    - Ex. Activated T cells persist after resolution of genital herpes lesions
  - Vaccines may hold greater promise
- Augment natural host defenses
  - INFs, TLR agonists, recombinant defensins
  - Double-edged swords



# Future Directions: Knowledge Gaps

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- Assessment of inflammatory status complex
- Measurements of individual mediators may not tell the whole story
  - Need to consider complex interactions between mediators/signaling cascades/downstream events
  - Functional assays may provide more comprehensive measure but biological significance of measures unclear
- Inflammation & Microbicide Trials
  - Inflammation increases HIV risk in both placebo and rx arms
  - BUT may interfere with drug activity



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# Gaps in Knowledge that Impact Prevention Efforts: PrEP and Vaccines

- What are the driving forces that enable virus to establish infection?
- How much virus is needed to transmit?
- What accounts for R5 viruses predominating?
- What are the first cells infected & what allows that infection to be amplified and disseminated?
- How do site specific differences in mucosal immunity impact HIV risk and prevention?

- Vagina, ectocervix: (Type II mucosa)
  - Stratified squamous epithelia
  - Sparse submucosal immune cells
  - IgG predominant immunoglobulin
- Endocervix and gut: (Type 1 mucosa)
  - Simple columnar
  - pIgA receptor; IgA predominates
  - MALT

