SAMPLE: DO NOT FAX I I I	11111		
HPTN 035 Ph II/IIb Microbe (013) DM-1 (0	01)		Page 1 of 2
Participant ID		Visit Date	
Site Number Participant Number Chk	emographics	dd ed	MMM yy
1st 2nd 3r Screening Attempt:	d 4th 5th	other, specify:	
I will start by asking you some general questions	about yourself.		
1. What is your date of birth? dd	MMM yy	If complete date of bi available: How old are you in conyears?	mpleted
		yes no	years
2. Are you currently married?			→ If no, go
		don'	t know to item 3 on page 2.
2a. How old is your husband?		years	
2b. Are you currently living with your h	usband?	yes no	
2c. Does your husband have more that sexual partner?		don't	t know
2d. Does your husband provide you w material support?			
2d1. What is your husband's average income?		don't	t know
2e. What is your husband's highest lev	vel of education?		
no schooling			
primary school, not complete			
primary school, net semplete			
工			
secondary, not complete			
secondary, complete			
attended college or university			
don't know			
☐ Go to item 4 on page	2 .		
☐ ☐ X 09-NOV-04	SAMPLE English	$\begin{bmatrix} 0 & 1 \end{bmatrix}$	

Demographics (DM-1), Page 1

This interviewer-administered form is used to collect participants' demographic and socioeconomic information.

This form is faxed to SCHARP DataFax only if the participant enrolls in the study, and only after completion of her Enrollment visit.

Note: If a participant is being re-screened, a new Demographics form must be completed as part of the subsequent Screening Attempt. See Section 13.3.2 for more instructions regarding re-screening form completion, and transmission procedures.

General Interviewer Tips:

See Section 13.5 for detailed interviewing techniques.

- Help the participant feel comfortable. Develop a rapport or connection with the participant.
- Avoid re-phrasing items, as doing so can change the meaning of the items and make them inconsistent with other interviews.
- Use probes to help the participant remember an answer, clarify a response, or to help report something more accurately.

It is important for you to review the forms for accuracy and completeness once the interview is complete. By reviewing the form briefly while the participant is still there, you can go back to an item that may have accidentally been skipped.

Item-specific Instructions:

Note: There is no visit code field on this form since this form is only administered during screening.

- Screening Attempt Box: See Section 13.3.2 for the definition of a screening attempt. Mark whether this is the first (1st), second (2nd), third (3rd), etc. screening attempt for this participant. If this is the participant's sixth or greater screening attempt, mark the "other, specify" box and clearly write the number on the line provided.
- Item 1: When possible, record the participant's complete date of birth (day, month, and year). If the participant does not know her complete date of birth, leave all the date boxes blank (this will not generate a QC note) and record the participant's age in completed years in the boxes provided. Use the participant's best estimate if the exact number of years is unknown. If necessary, record the interviewer's best estimate. Do not record both a full or partial date of birth and completed years—this may generate a QC note.
- Item 2: Record whether or not the participant is currently married.
- Item 2a: If the participant does not know her husband's exact age, record her best estimate. If she is unable to provide an estimate, mark the "don't know" box.
- Item 2d: Record whether or not the participant's husband provides her with any financial and/or material support. This will include things such as money, housing, food, household goods, etc.
- **Item 2d1:** Record the husband's **average** monthly income (record in local currency). The participant should include all sources of income. Right justify the response and use leading zeros.

For example, if the income is 2,145 record: 0 0 0 2 1 4 5If the husband's average monthly income is greater than 9,999,999 write "9999999" in the boxes provided,

If the husband's average monthly income is greater than 9,999,999 write "9999999" in the boxes provided, and record the actual value in the white space near the item. If the husband is unemployed write "0000000" in the boxes provided.

 $/networks/hivnet/forms/PTN_035/forms/demographics.fm$

HPTN 035 Ph II/IIb Microbe (013) DM-2 (002)	e 2 of 2
Participant ID	0 - 0
Demographics	
Site Number Participant Number Chk	
1st 2nd 3rd 4th 5th other, specify: Screening Attempt:	
	no, go item 4.
3a. How old is your partner? years	
yes no 3b. Are you currently living with your partner?	
3c. Does your partner have any other sexual partners? don't know	
3d. Does your partner provide you with financial and/or material support?	
3d1. What is your partner's average monthly income?	
3e. What is your partner's highest level of education?	
no schooling	
primary school, not complete	
primary school, complete	
secondary, not complete	
secondary, complete	
attended college or university	
don't know	
	no, end form.
4a. What is your average monthly income?	
employment employed specify: 4b. How do you earn your income? <i>Mark all that apply.</i>	
SAMPLE English Staff Initials Staff Initials	s / Date

Demographics (DM-2) Page 2

Item-specific Instructions:

- **Screening Attempt Box:** The screening attempt marked on this page must match the screening attempt marked on page 1 of this form.
- Item 3: Record whether or not the participant currently has a male sexual partner. If the participant reports that she currently has more than one male sexual partner, inform her that the next set of questions (item 3a through 3e) should be answered for the partner she considers to be her primary sexual partner.
- Item 3a: If the participant does not know her sexual partner's exact age, record her best estimate. If she is unable to provide an estimate, mark the "don't know" box.
- **Item 3d:** Record whether or not the participant's sexual partner provides her with any financial and/or material support. This will include things such as money, housing, food, household goods, etc.
- **Item 3d1:** Record the sexual partner's **average** monthly income (record in local currency). The participant should include all sources of income. Right justify the response and use leading zeros.

- Item 4a: Record the participant's average monthly income (record in local currency). The participant should include all sources of income. Right justify the response and use leading zeros. If the participant's average monthly income is greater than 9,999,999 write "9999999" in the boxes provided, and record the actual value in the white space near the item.
- Item 4b: Record whether the participant's source(s) of income are from formal employment (for example; shop clerk, farmer, seamstress, teacher), self-employment (for example; shop owner, artist, restaurant owner), or other type of employment.

AMPLE, TO DATAFAX	
HPTN 035 Ph II/IIb Microbe (013) DMM-1 (013)	Page 1 of 1
Participant ID Visit Date Demographics—Malawi Visit Date	
	MMM vv
	МММ уу
1st 2nd 3rd 4th 5th other, specify:	
Screening Attempt:	
What is your highest level of education?	
no schooling	
primary school, not complete	
primary school, complete	
secondary, not complete	
secondary, complete	
attended college or university	
2. How many children have you given birth to who were alive at birth?	
yes no	
3. Do you own your home?	
4. How many rooms are in your household?	
yes no	
5. Do you have electricity in your home?	
6. What is your ethnic group or tribe?	
Read categories, mark all that apply.	
Chewa	
Lomwe	
Yao	
Tumbuka	
White	
other African tribe, specify:	
other, specify:	
7. Interviewer: Where was the participant referred/recruited from? code	
The first viewer. Willord was the participant referredirectulted from:	
□ □ □ □ □ × 09-NOV-04 SAMPLE English □ 1	

Demographics—Malawi (DMM-1)

Item-specific Instructions:

- Screening Attempt Box: See Section 13.3.2 for the definition of a screening attempt. Mark whether this is the first (1st), second (2nd), third (3rd), etc. screening attempt for this participant. If this is the participant's sixth or greater screening attempt, mark the "other, specify" box and clearly write the number on the line provided.
- Item 2: Record the total number of reported live births, not the total number of pregnancies, or other birth outcomes.
- Item 3: Record whether or not the participant (or someone in her family) owns the house she lives in.
- Item 6: This item asks about ethnic group or tribe. Read each category to the participant and mark the response(s) that apply based on the participant's response. If the participant feels that an appropriate choice is not listed mark either the "Other African tribe, specify" box or the "Other, specify" box and record her response on the line provided for that option.
- **Item 7:** This is not an interviewer-administered item. Record the 2-digit site-specific code associated with the location (or person) from where this participant was referred or recruited.

SAM	HPTN 035 Ph II/IIb Microbe (013) DMZ-1 (014) Page 1 of 2	
Dortio	i ago i oi	1
Fartic	cipant ID Visit Date Demographics—Zimbabwe Visit Date	٦
Site N	Number Participant Number Chk dd MMM yy	┙
	- Contract of the contract of	
	1st 2nd 3rd 4th 5th other, specify:	
So	creening Attempt:	
1.	What is your highest level of education?	
	no schooling	
	primary school, not complete	
	primary school, complete	
	secondary, not complete	
	secondary, complete	
	attended college or university	
2.	How many children have you given birth to who were alive at birth? yes no	
3.	Do you own your home?	
4.	How many rooms are in your household?	
5.	What is your ethnic group or tribe? Read categories, mark all that apply.	
	Shona	
	Ndebele	
	White	
	other African tribe, specify:	
	other, specify:	
6.	Interviewer: Where was the participant referred/recruited from? code	

Demographics—Zimbabwe (DMZ-1)

Item-specific Instructions:

- Screening Attempt Box: See Section 13.3.2 for the definition of a screening attempt. Mark whether this is the first (1st), second (2nd), third (3rd), etc. screening attempt for this participant. If this is the participant's sixth or greater screening attempt, mark the "other, specify" box and clearly write the number on the line provided.
- Item 2: Record the total number of reported live births, not the total number of pregnancies, or other birth outcomes.
- Item 3: Record whether or not the participant (or someone in her family) owns the house she lives in.
- Item 5: This item asks about ethnic group or tribe. Read each category to the participant and mark the response(s) that apply based on the participant's response. If the participant feels that an appropriate choice is not listed mark either the "Other African tribe, specify" box or the "Other, specify" box and record her response on the line provided for that option.
- **Item 6:** This is not an interviewer-administered item. Record the 2-digit site-specific code associated with the location (or person) from where this participant was referred or recruited.

SAM	L. TO DATAFAX		
	HPTN 035 Ph II/IIb Microbe (013) DMS-1 (015)		Page 1 of 1
Partic	ipant ID	Visit Date	
	Demographics—South Africa		
Site N	lumber Participant Number Chk	dd	MMM yy
	1st 2nd 3rd 4th 5th other, spec	cify:	
Sc	reening Attempt:		
1.	What is your highest level of education?		
	no schooling		
	primary school, not complete		
	primary school, complete		
	secondary, not complete		
	secondary, complete		
	attended college or university		
2.	How many children have you given birth to who were alive at birth?		
3.	Do you own your home?	no	
O.		Ш	
4.	How many rooms are in your household?		
5.	What is your ethnic group or tribe?		
	Read categories, mark all that apply.		
	Zulu		
	Xhosa		
	Indian		
	Colored		
	White		
	other African tribe, specify:		
	other, specify:		
6	Interviewers Where were the position and referred the service of face of	anda	
6.	Interviewer: Where was the participant referred/recruited from?	code	

Demographics—South Africa (DMS-1)

Item-specific Instructions:

- Screening Attempt Box: See Section 13.3.2 for the definition of a screening attempt. Mark whether this is the first (1st), second (2nd), third (3rd), etc. screening attempt for this participant. If this is the participant's sixth or greater screening attempt, mark the "other, specify" box and clearly write the number on the line provided.
- Item 2: Record the total number of reported live births, not the total number of pregnancies, or other birth outcomes.
- Item 3: Record whether or not the participant (or someone in her family) owns the house she lives in.
- Item 5: This item asks about ethnic group or tribe. Read each category to the participant and mark the response(s) that apply based on the participant's response. If the participant feels that an appropriate choice is not listed mark either the "Other African tribe, specify" box or the "Other, specify" box and record her response on the line provided for that option.
- **Item 6:** This is not an interviewer-administered item. Record the 2-digit site-specific code associated with the location (or person) from where this participant was referred or recruited.

n p p s s a	s your high o schooling rimary scho rimary scho econdary, econdary, ttended co	gool, not comp not comp complete	olete	3rd	4th	5th	other, specit	fy:	
n p p s s a	o schooling rimary schooling rimary schooling econdary, of econdary, of ttended co	gool, not comp not comp complete	omplete blete lete	n?					
	any childre								
4. How m	-	home?	ou given bi				yes	no	
Read of	Bemba Iyanja Tonga ozi White ther African	n tribe, sp	oecify:						

X

Demographics—Zambia (DMB-1)

Item-specific Instructions:

- Screening Attempt Box: See Section 13.3.2 for the definition of a screening attempt. Mark whether this is the first (1st), second (2nd), third (3rd), etc. screening attempt for this participant. If this is the participant's sixth or greater screening attempt, mark the "other, specify" box and clearly write the number on the line provided.
- Item 2: Record the total number of reported live births, not the total number of pregnancies, or other birth outcomes.
- Item 3: Record whether or not the participant (or someone in her family) owns the house she lives in.
- Item 5: This item asks about ethnic group or tribe. Read each category to the participant and mark the response(s) that apply based on the participant's response. If the participant feels that an appropriate choice is not listed mark either the "Other African tribe, specify" box or the "Other, specify" box and record her response on the line provided for that option.
- **Item 6:** This is not an interviewer-administered item. Record the 2-digit site-specific code associated with the location (or person) from where this participant was referred or recruited.

	HPTN 035 Ph II/IIb Microbe (013) DMU-1 (018) sipant ID ———————————————————————————————————	Page 1 of 1 Visit Date dd MMM yy
Sc	1st 2nd 3rd 4th 5th	other, specify:
1.	What is your highest level of education? no schooling primary school, not complete primary school, complete attended college or under the college or under	
2.	How many people live in your household?	
3.	What is your household's average monthly income? This includes income from all sources, even income from people who may not live in the household.	
4.	Have you ever had an unplanned pregnancy?	yes no ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ If no, go to item 5. 1 more than 1
5.	4a. How many unplanned pregnancies have you had? Do you consider yourself to be Latina or of Hispanic origin?	yes no
6.	What is your race? <i>Read categories, mark all that apply.</i> American Indian or Alaskan Native Asian Black or African American Native Hawaiian or Other Pacific Islander	
	White other, specify: (Note: Latino is not a race.)	
7.	Interviewer: Where was the participant referred/recruited from? SAMPLE English	code 0 1

Demographics—United States (DMU-1)

Item-specific Instructions:

- Screening Attempt Box: See Section 13.3.2 for the definition of a screening attempt. Mark whether this is the first (1st), second (2nd), third (3rd), etc. screening attempt for this participant. If this is the participant's sixth or greater screening attempt, mark the "other, specify" box and clearly write the number on the line provided.
- Item 2: Record the total number of people, including children, living in the participant's household.
- Item2a: Record only the number of children living in the participant's household.
- Item 3: Record the average monthly income for the household (record in local currency). The participant should include all sources of income. Right justify the response and use leading zeros.

For example, if the income is 2,145 record: $\begin{bmatrix} 0 & 0 & 0 & 2 & 1 & 4 & 5 \end{bmatrix}$ If the household's average monthly income is greater than 9,999,999 write "9999999" in the boxes provided, and record the actual value in the white space near the item.

- Item 4: Record whether or not the participant has ever had a known unplanned pregnancy.
- Item 5: *Note:* Latina is not a race.
- Item 6: This item asks about race. Read each category to the participant and mark the response(s) that apply based on the participant's response. If the participant feels that an appropriate choice is not listed mark the "Other, specify" box and record her response on the line provided.
- Item 7: This is not an interviewer-administered item. Record the 2-digit site-specific code associated with the location (or person) from where this participant was referred or recruited.

SAMPLE: DO NOT FAX HPTN 035 Ph II/IIb Microbe (013) EBA-1	(071)	Page 1 of 4
Participant ID Site Number Participant Number Chk	Enrollment Behavior Assessment	Visit Date dd MMM yy

I am now going to ask you some questions about your sexual behavior. Some of these questions are personal and sensitive, but understanding sexual behavior is important for HIV prevention. There are no right or wrong answers to these questions. We will ask you these same types of questions every 3 months while you are in the study. Remember, we do not have your name on these papers, and all of your answers will be kept confidential.

There are many different ways people have sex. Some of the questions I am going to ask you are about vaginal sex, and some are about anal sex. By vaginal sex, I mean when a man puts his penis inside your vagina. By anal sex, I mean when a man puts his penis inside your anus.

Shall we continue?

1.	By sex	past 3 months, how many sex partners have you had? c partner, I mean someone with whom you have had il or anal sex		# of partners
l am r	now goi	ng to ask you some questions about vaginal sex only.		
2.	In the	past week, how many times did you have vaginal sex?		# of times
		that you are counseled to use condoms for each act of ll sex, but I also know that this is not always possible.		—► If 0, go to item 3.
	2a.	In the past week, how many times did you use a male or female condom during vaginal sex?		# of times
3.	When	was the last time you had vaginal sex?	dd	MMM yy

Enrollment Behavior Assessment (EBA-1), Page 1

This form is used to collect baseline information about the participant's sexual behaviors, vaginal hygiene, and family planning practices. This is an interviewer-administered form, and it is administered only once to each enrolled participant as part of her Enrollment visit.

Interview tips:

See Section 13.5 for detailed interviewing techniques.

- Help the participant feel comfortable. Develop a rapport or connection with the participant.
- Avoid re-phrasing items, as doing so can change the meaning of the items and make them inconsistent with other interviews.
- Use probes to help the participant remember an answer, clarify a response, or to help report something more accurately.
- When a participant's response does not match one of the listed response categories, record the participant's verbatim (word-for-word) response on the line labeled "local language" (even if the participant's response is in English). Record the participant's response in the language spoken by the participant. Once the interview is over, go back and translate the text recorded on the "local language" line into English, and record the English translation of the response on the "English" line. If the participant's response was in English originally, leave the "English" line blank.
- It is important for you to review the forms for accuracy and completeness once the interview is complete. By reviewing the form briefly while the participant is still there, you can go back to an item that may have accidentally been skipped.

Item-specific Instructions:

Note: There is no visit code field on this form since this form is only administered at the Enrollment visit.

- Items 1, 2, and 2a: Use leading zeros when needed so that all the boxes are filled. Review these items for mathematical accuracy while the participant is still present. Update the responses accordingly if applicable.
- Item 3: If, after verbal probing, the participant is unable to provide the day (or month, or year) she last had vaginal sex, draw a line through the unknown response boxes, write "don't know" in the white space next to the item, and initial and date.

SAMPLE: DO NOT FAX TO DATAFAX	
JAIIIPLE, TO DATAFAX	

HPTN 035 Ph II/IIb Microbe (013) EBA-2 (072)

Participant ID

Page 2 of 4

	Site	Number	- Enrollment Behavior Participant Number Chk Chk		
4b. did you use a female condom?	4.	The las	st time you had vaginal sex:	yes	no
4c. did you wash inside or douche inside your vagina within one hour before having vaginal sex?		4a.	did you or your partner use a male condom?		
4d. did you wash inside or douche inside your vagina within one hour after having vaginal sex?		4b.	did you use a female condom?		
4e. did you insert paper, cloth, cotton, or cotton wool?		4c.			
4f. did you insert any other object or substance into your vagina within one hour before or during vaginal sex?		4d.	• • • • • • • • • • • • • • • • • • • •		
Vagina within one hour before or during vaginal sex?		4e.	did you insert paper, cloth, cotton, or cotton wool?		
4g. did you insert any other object or substance into your vagina within one hour after vaginal sex?		4f.		P	☐ If yes, specify:
4g. did you insert any other object or substance into your vagina within one hour after vaginal sex?			Local Language:		
your vagina within one hour after vaginal sex? Local Language: English: 4h. did you receive money, gifts, material goods, drugs, or shelter for this vaginal sex?		40	<u> </u>		
Ah. did you receive money, gifts, material goods, drugs, or shelter for this vaginal sex?		4 y.		—	☐ If yes, specify:
4h. did you receive money, gifts, material goods, drugs, or shelter for this vaginal sex?			Local Language:		
or shelter for this vaginal sex?		41	•		
questions may not apply to you, but we ask all participants these same questions. I am asking you these questions because understanding sexual behavior is important for HIV prevention. Remember, all of your answers will be kept confidential. yes no 1. Have you ever had anal sex?		4n.			
5. Have you ever had anal sex?	ques beca	tions ma use und	y not apply to you, but we ask all participants these same questions.	I am asking	g you these questions
	5.	Have y	ou ever had anal sex?	yes	_

Enrollment Behavior Assessment (EBA-2), Page 2

Item-specific Instructions:

• Item 4: Read each item 4a–4h aloud and mark the participant's answer. If 'yes' is marked for items 4f or 4g, be sure to record the participant's verbatim response. Also provide the English translation in the space provided.

4

	DI E.			`
	PLE.	TO DATAFAX 35 Ph II/Ilb Microbe (013) EBA-3 (073)		Б. 0.4
Partic	ipant ID			Page 3 of
	Number	- Enrollment Behavior Assessment		
6.	In the	past week, did you have anal sex?	yes	no ☐ ► If no, go to item 7.
		that you are counseled to use condoms for each act of ex, but I also know that this is not always possible.		
	6a.	In the past week, did you ever, even once, have anal sex without a condom?	yes	no
7.	When	was the last time you had anal sex?		MMM VV
8.	The la	st time you had anal sex:	dd yes	MMM yy no
	8a.	did you or your partner use a male condom?		
	8b.	did you use a lubricant (such as lube, K.Y.)?		
	8c.	did you receive money, gifts, material goods, drugs, or shelter for this anal sex?		
		ng to ask you some different types of personal and sensitive q y to you, but we ask the same questions of all study participant		ome of the questions
9.	insert i	e next question, I am going to ask you about items that women s nside their vaginas. For each item, please tell me if you inserte agina in the past month. It is possible to answer "yes" more tha	d it inside	If yes: How many times in the past week did you insert this item?
			yes	no # of times
	9a.	water?	P	□
	9b.	water with vinegar? Note for U.S. site: This includes all commercial douching products.	P	
	9c.	water with soap?	Q	
	9d.	paper, cloth, cotton, or cotton wool?	\Box	
	9e.	tampons?		□ → □
	9f.	fingers without anything else?	P	
	9g.	anything else? Specify:	口	
		Local Language:		
		English:		

SAMPLE English

09-NOV-04

X

Enrollment Behavior Assessment (EBA-3), Page 3

Item-specific Instructions:

- Item 7: If, after verbal probing, the participant is unable to provide the day (or month, or year) she last had anal sex, draw a line through the unknown response boxes, and write "don't know" in the white space next to the item, and initial and date.
- Item 8: Read each item 8a–8c aloud and mark the participant's answer.
- Item 9: Read each item 9a–9g aloud and mark the participant's response. For each item to which she replies "yes," ask how many times in the past week she has used that particular item. Record the response in the "# of times" boxes. If yes is marked for item 9g, be sure to record the participant's verbatim response. Also provide the English translation in the space provided.

	,	,
SAMPL	PTN 035 Ph II/Ilb Microbe (013) EBA-4 (074)	5 4 64
		Page 4 of 4
Participa		
	Enrollment Behavior Assessment	
Site Num	ber Participant Number Chk	
	know you were asked about family planning during your medical history review, but I need to ask yo hich family planning method or methods are you currently using? Mark "none" or all that apply.	u again.
	none	
	family planning pills or birth control pills	
	injectable contraceptives (such as Depo-Provera)	
	Norplant inserts	
	vaginal ring	
	natural methods such as the withdrawal or rhythm method	
	male condoms	
	spermicide	
	surgical sterilization (tubal ligation)	
Г	other, specify:	

Local Language: _____

English:

Enrollment Behavior Assessment (EBA-4), Page 4

Item-specific Instructions:

• Item 10: These response options are not read aloud to the participant. Mark the box(es) for all reported family planning methods being used by the participant. If the participant reports a method not listed, mark the "other, specify" box and record the participant's verbatim response. Also provide the English translation in the space provided.

SAM	PLE:	DO NOT FAX TO DATAFAX			Visit Code			1
	HPTN (035 Ph II/IIb Microbe (013)	FBA-1 (181)					Page 1 of 7
Partic	ipant ID					Visit [Date	
Site I	Number	Participant Number Ch	[⊥] Asse	w-up Behavior ssment		dd	MMN	M yy
sensi these	tive, but questic	ng to ask you some questi understanding sexual belons. We will ask you these r name on these papers, a	navior is impor same questio	tant for HIV preverns every 3 months	ntion. There s while you a	are no rig	ht or wrong a	nswers to
and s	ome are	any different ways people le about anal sex. By vagina puts his penis inside your	ıl sex, I mean ı					
Shall	we con	tinue?						
1.		past 3 months, how many n someone with whom you					# of parti	ners
I am	now goi	ng to ask you some questi	ons about vag	ginal sex only.			0, go to state fore item 9 c	
2.	In the	past week, how many time	s did you hav	e vaginal sex?		🔲	# of time	s
AII G	el coho	orts, go to statement befo	ore item 2b.			▼ If (0, go to item	3
		that you are counseled to Il sex, but I also know that				on	page 2.	
	2a.	In the past week, how macondom during vaginal s	•			🔲	# of time	s
Cond	dom-on	ly cohort, go to item 3 or	n page 2.					
		that you are counseled to il sex, but I also know that						
	2b.	In the past week, how materials condom and not to				🔲	# of time	s
	2c.	In the past week, how mand not a male or female	•			🔲	# of time	s
	2d.	In the past week, how made or female condon					# of time	s
	2e.	In the past week, how manner a male or female con					# of time	S
	$\neg \sqcap$	× 07-AUG-06	SA	MPLE English		Г	0 1	

Follow-up Behavior Assessment (FBA-1), Page 1

This form is used to collect information about the participant's sexual behaviors, vaginal hygiene, and family planning practices while she is taking part in the study. This is an interviewer-administered form, and it is administered at each Quarterly visit and at the Study Exit visit.

Interview tips:

See Section 13.5 for detailed interviewing techniques.

- Help the participant feel comfortable. Develop a rapport or connection with the participant.
- Avoid re-phrasing items, as doing so can change the meaning of the items and make them inconsistent with other interviews.
- Use probes to help the participant remember an answer, clarify a response, or to help report something more accurately.
- When a participant's response does not match one of the listed response categories, record the participant's verbatim (word-for-word) response on the line labeled "local language" (even if the participant's response is in English). Record the participant's response in the language spoken by the participant. Once the interview is over, go back and translate the text recorded on the "local language" line into English, and record the English translation of the response on the "English" line. If the participant's response was in English originally, leave the "English" line blank.
- It is important for you to review the forms for accuracy and completeness once the interview is complete. By reviewing the form briefly while the participant is still there, you can go back to an item that may have accidentally been skipped. This is particularly important for items 2 through 2e.

Item-specific Instructions:

- Visit Code: Record the visit code assigned to the visit. See Section 13.3.2 for a more specific information on assigning visit codes. Note that for regularly scheduled (Monthly or Quarterly) visits, the visit code is equal to the month on study + 2.0. For example, Month 3 is assigned a visit code of "05.0," Month 6 is assigned a visit code of "08.0," etc.
- Item 1: Use leading zeros when needed so that all the boxes are filled. If the participant reports she has had no sexual partners in the past 3 months, record "00" for this item and continue the interview by reading the statement before item 9 on page 4. In this case, do record the Visit Code and PTID on pages 2 and 3 of this form, and leave all other items on pages 2 and 3 blank. Do fax all 6 pages of this form to SCHARP DataFax once the form has been completed.
- Item 2: Use leading zeros when needed so that all the boxes are filled.
- Item 2a: This item is completed only for participants assigned to condom-only (no gel). Use leading zeros when needed so that all the boxes are filled. Once this item has been completed for condom-only participants, check that the responses are consistent with the response to item 2, and go to item 3. For participants assigned to study gel, leave this item blank and go to the statement before item 2b.
- Items 2b-2e: These items are completed only for participants assigned to study gel. Use leading zeros when needed so that all the boxes are filled. After recording the participant's responses, check that the responses are consistent with the response to item 2. For participants assigned to condom-only, leave these items blank.

Follow-up Behavior Assessment (FBA-2)

Al	<i>IPLE:</i>	DO NOT FAX TO DATAFAX	Visit Code			1
		035 Ph II/IIb Microbe (013) FBA-2 (182)				Page 2 of 7
	icipant ID Number	- Participant Number Chk Follow-up Behavior Assessment				
3.	When	was the last time you had vaginal sex?		dd	MMM	уу
4.	The la	st time you had vaginal sex:		yes	no	
	4a.	did you or your partner use a male condom?				
	4b.	did you use a female condom?				
	4c.	did you use study gel?				
	4d.	did you wash inside or douche inside your vagina within one hour before having vaginal sex?				
	4e.	did you wash inside or douche inside your vagina within one hour after having vaginal sex?				
	4f.	did you insert paper, cloth, cotton, or cotton wool?				
	4g.	did you insert any other object or substance into your vagina within one hour before or during vaginal sex?		P	☐ If ye	s, specify:
		Local La	nguage:			
	4h.	did you insert any other object or substance into	English:			
		your vagina within one hour after vaginal sex?		Д		s, specify:
	4i.	did you receive money, gifts, material goods, drugs, or shelter for this vaginal sex?				

Follow-up Behavior Assessment (FBA-2), Page 2

Item-specific Instructions:

- **Visit Code:** Make sure that the Visit Code recorded on this page matches the Visit Code recorded on all other pages of this form for a given participant and visit.
- Item 3: If, after verbal probing, the participant is unable to provide the day (or month, or year) she last had vaginal sex, draw a line through the unknown response boxes, write "don't know" in the white space next to the item, and initial and date.
- Item 4: Read each item 4a—4i aloud and mark the participant's answer. If yes is marked for items 4g or 4h, be sure to record the participant's verbatim response. Also provide the English translation in the space provided.

otatisti	cai Ceili	er for hiv/AiD3 Research & Prevention (SCHARP)	up Deliavi	or Assessment (i bA-s)
SAM	PLE.	DO NOT FAX TO DATAFAX 035 Ph II/Ilb Microbe (013) FBA-3 (183)	.	. 1 Page 3 of 7
Partic	cipant II			3
Site	Number	-		
you t	hese qu	ng to ask you some questions about a different way that people have lestions because understanding sexual behavior is important for HIV be kept confidential.		
5.	In the	past 3 months, did you have anal sex?	yes	no If no, go to statement before item 9 on page 4.
	5a.	In the past 3 months, did you ever use study gel anally during anal sex?		☐-► If no, go to item 6
	5b.	How many times did you use study gel anally during anal sex?		
6.	In the	past week, did you have anal sex?	yes	no ☐ ► If no, go to item 7.
		that you are counseled to use condoms for each act of anal sex, also know that this is not always possible.		
	6a.	In the past week, did you ever, even once, have anal sex without a condom?	yes	no
7.	When	was the last time you had anal sex?	dd	MMM yy
8.	The la	st time you had anal sex:	yes	no
	8a.	did you or your partner use a male condom?		
	8b.	did you use a lubricant (such as lube, K.Y.)?		
	8c.	did you receive money, gifts, material goods, drugs, or shelter for this anal sex?		

Follow-up Behavior Assessment (FBA-3), Page 3

Item-specific Instructions:

- **Visit Code:** Make sure that the Visit Code recorded on this page matches the Visit Code recorded on all other pages of this form for a given participant and visit.
- Item 5b: Use leading zeros when needed so that all the boxes are filled.
- Item 7: If, after verbal probing, the participant is unable to provide the day (or month, or year) she last had anal sex, draw a line through the unknown response boxes, and write "don't know" in the white space next to the item, and initial and date.
- Item 8: Read each item 8a–8c aloud and mark the participant's response.

SAMPLE: DO NOT FAX HPTN 035 Ph II/IIb Microbe (013) FBA-4	Visit Code	Page 4 of 7
Participant ID		-
Site Number Participant Number Chk	Follow-up Behavior Assessment	

Now I am going to ask you some different types of personal and sensitive questions. Some of the questions may not apply to you, but we ask the same questions of all study participants.

9. For the next question, I am going to ask you about items that women sometimes insert inside their vaginas. For each item, please tell me if you inserted it inside your vagina in the past month. It is possible to answer "yes" more than once.

If yes: How many times in the past week did you insert this item?

		yes	no	# of times
9a.	water?	口		
9b.	water with vinegar? Note for U.S. site: This includes all commercial douching products.	口		→
9c.	water with soap?	밑		
9d.	paper, cloth, cotton, or cotton wool?	口		
9e.	tampons?	口		→
9f.	fingers without anything else?	口		→
9g.	anything else? Specify:	口		-
	Local Language:			
	Enalish:			

Follow-up Behavior Assessment (FBA-4), Page 4

Item-specific Instructions:

- **Visit Code:** Make sure that the Visit Code recorded on this page matches the Visit Code recorded on all other pages of this form for a given participant and visit.
- Item 9: Read each item 9a–9g aloud and mark the participant's response. For each item to which she replies "yes," ask how many times in the past week she has used that particular item. Record the response in the "# of times" boxes. If yes is marked for item 9g, be sure to record the participant's verbatim response. Also provide the English translation in the space provided.

Follow-up Behavior Assessment (FBA-5)

AM	PLE	DO NOT FAX TO DATAFAX Visit Code 1 035 Ph II/Ilb Microbe (013) FBA-5 (185)	Page 5 of 7
	Number		rage 5 or r
10.	Whic	ch family planning method or methods are you currently using? Mark "none" or all that apply.	
		none	
		family planning pills or birth control pills	
		injectable contraceptives (such as Depo-Provera)	
		Norplant inserts	
		vaginal ring	
		diaphragm	
		natural methods such as the withdrawal or rhythm method	
		male condoms	
		spermicide	
		surgical sterilization (tubal ligation)	
		other, specify: Local Language:	
		English:	

Follow-up Behavior Assessment (FBA-5), Page 5

Item-specific Instructions:

- **Visit Code:** Make sure that the Visit Code recorded on this page matches the Visit Code recorded on all other pages of this form for a given participant and visit.
- Item 10: Family planning methods reported at previous visits should always be reviewed before asking this question. These response options are not read aloud to the participant. Mark the box(es) for all reported family planning methods being used by the participant. If the participant reports a method not listed, mark the "other, specify" box and record the participant's verbatim response. Also provide the English translation in the space provided.

Visit Code

HPTN 035 Ph II/IIb Microbe (013) FBA-6 (186)	Pag	ge 6 of 7
Participant ID		
Follow-up Behavior Assessment		
Site Number Participant Number Chk		
For this last question, I am going to ask you about experiences you may have had or	or are having while in this stu	ıdv
To this last question, I am going to ask you about experiences you may have had on	or are naving write in this ste	idy.
11. In the past 3 months, have you had any problems with the following people as a result of being in this study:	5	
11a. your spouse or partner?	yes no □ □	
11a. your spouse or partner?	· 🖵 🕌	
11b. people at home/family?	· 🗆 🔶	
11c. your friends/personal relationships?	. 🗆 🖕	
11d. people at work?	. 🗆 🖕	
11e. people at school?	. 🗅 🖕	
11f. your doctor, nurse, midwife, or other health care provider?	. 🗇 🖕	
11g. your landlord or property owner?	. 🗇 🖕	
11h. other people? Specify:	. 🗖 🖕	
Local Language:	► If no to	all,
English:	end of fo	orm.
12. Please describe the problem:		
Local Language:		
English:		

Follow-up Behavior Assessment (FBA-6), Page 6

Item-specific Instructions:

- **Visit Code:** Make sure that the Visit Code recorded on this page matches the Visit Code recorded on all other pages of this form for a given participant and visit.
- Item 11: Read each item 11a–11h aloud and mark the participant's response. If "yes" is marked for item 11h, be sure to record the participant's verbatim response. Also provide the English translation in the space provided. If the participant responds "no" to each item 11a-11h, end the form and leave item 12 blank.
- Item 12: Describe the problem. Do **not** record the participant's verbatim response describe the problem in your own words so that the nature of the problem is clear. Provide the English translation in the space provided.

/networks/hivnet/forms/PTN_035/forms/followup_behavior.fm

HP	TN 035 PI	DATAFAX h II/IIb Microbe (013)	FBA-7	(187)	• • •	Code		⅃. Ĺ⅃	Page 7 of 7
Participa	nt ID								-
Site Numb	er I		Chk	Follow-up Assessm	Behavior ent				
13. Ha	as this pro	oblem/have any of t	hese prol	blems resul	ted in				
13		otional harm to you? ss, anxiety, worry, c					yes		no, go to em 13b.
	13a	1. Please describe t	the proble	em:					
	Loc	al Language:							
	Eng	glish:							
13		sical harm to you? F a result of this proble					yes		no, go to em 13c.
	13b	1. Please describe t	the proble	em:					
	Loc	al Language:							
	Eng	ılish:							
13	resu	nomic/financial harr ulted in the removal/ ome?	loss of y	our home, p	roperty, or ab	ility to earn	yes		no, go to em 13d.
	13c	1. Please describe t	the proble	em:					
	Loc	al Language:							
	Eng	ılish:							
							yes	no	
13	d. phy	sical or other harm	to your cl	hildren?					no, go to em 14.
	13d	1. Please describe t	the proble	em:					
	Loc	al Language:							
	Eng	nlish:							
		: Did any of these pa			-		yes	no	AE Log Page #
	X	07-AUG-06		SAMPL	E English			0 1 Language	Staff Initials / Date

Follow-up Behavior Assessment (FBA-7), Page 7

Item-specific Instructions:

If no social harms were reported by the participant at this visit (that is, form items 11a through 11h are all marked "no"), do not complete this page and do not fax this form page to SCHARP DataFax. Do be sure to fax all other form pages (pages 1 through 6) to SCHARP DataFax.

- **Visit Code:** Make sure that the Visit Code recorded on this page matches the Visit Code recorded on all other pages of this form for a given participant and visit.
- Items 13a1, 13b1, 13c1, and 13d1: Briefly describe the problem. Do not record the participant's verbatim (word-for-word) response—describe the problem in your own words so that the nature of the problem is clear. If the response is given in a language other than English, provide the English translation in the space provided.
- Item 14: This is not an interviewer-administered item. If any of the problems described in items 13a-13d resulted in an untoward physical event to the study participant requiring reporting as an adverse event, mark the "yes" box and record the matching AE Log page number in the box provided. If the social harm resulted in more than one AE Log page, contact SCHARP for instructions on how to document additional AE Log page numbers. If no AEs resulted from the social harm, mark the "no" box and leave the "AE Log Page #" box blank.

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Follow-up Partner Status (FPS-1)

Item-specific Instructions:

• Items 1 and 5: Record whether or not the participant is currently married.

SAI	PLE, DO NOT FAX TO DATAFAX	Visit 1
	HPTN 035 Ph II/IIb Microbe (013) FPS-2 (191)	Page 2 of 3
Part	ticipant ID	. — Ne data procedad
Sit	Follow-up Partner State e Number Participant Number Chk	No data recorded on this page
NE	W HUSBANDS	years don't know
6.	How old is your husband?	OR
7.	Are you currently living with your husband?	yes no
8.	Does your husband have more than one wife or sexual partner?	don't know
9.	Does your husband provide you with financial and/or material support?	☐ ☐ ☐ don't know
10.	What is your husband's average monthly income?	
11.	What is your husband's highest level of education?	
	no schooling	
	primary school, not complete	
	primary school, complete	
	secondary school, not complete	
	secondary school, complete	
	attended college or university	
	don't know	
12.	When did you start having sex with your husband? Read response categories	past more than year one year ago
13.	Have you told your husband you are taking part in this study?	
	☐ no — End of form.	
	yes, I told him on the day when I joined the study — ► E	End of form.
	yes, sometime after I joined the study — End of form	m.

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Follow-up Partner Status (FPS-2)

Item-specific Instructions:

- **Item 6:** If the participant does not know her husband's exact age, record her best estimate. If she is unable to provide an estimate, mark the "don't know" box.
- Item 9: Record whether or not the participant's husband provides her with any financial and/or material support. This will include things such as money, housing, food, household goods, etc.
- Item 10: Record the husband's average monthly income (record in local currency). The participant should include all sources of income. Right justify the response and use leading zeros. For example, if the income is 2,145 record: 000214 5. If the husband's average monthly income is greater than 9,999,999 write "9999999" in the boxes provided, and record the actual value in the white space near the item. If the husband is unemployed write "0000000" in the boxes provided.

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SAMPLE: DO NOT FAX HPTN 035 Ph II/IIb Microbe (013) FPS-3 (192)	Page 3 of 3
Participant ID	Page 3 of 3
Site Number Participant Number Chk NEW SEXUAL PARTNERS Follow-up Partner Status Chk	No data recorded on this page
14. Do you currently have a male sexual partner? Read response categories.	
yes, I have a partner now and he is the same partner I had when I joined the study.	► Go to item 22.
yes, I have a partner now but he is not the same partner I had when I joined the study.	
no — ► End of form.	
	n't know
15. How old is your partner? OR	
yes no 16. Are you currently living with your partner?	lon't know
17. Does your partner have any other sexual partners?	
18. Does your partner provide you with financial and/or material support?	don't know
19. What is your partner's average monthly income?	
20. What is your partner's highest level of education?	
no schooling	
primary school, not complete	
primary school, complete	
secondary school, not complete	
secondary school, complete	
attended college or university	
don't know	
past more to year one year. 21. When did you start having sex with this partner? Read response categories.	
22. Have you told your partner you are taking part in this study? Read response categories.	
no	
yes, I told him on the day when I joined the study	
yes, sometime after I joined the study	
□ □ □ x 20-FEB-08]
Language	Staff Initials / Date

Follow-up Partner Status (FPS-3)

Item-specific Instructions:

- Item 14: Record whether or not the participant currently has a male sexual partner. If the participant reports that she currently has more than one male sexual partner, inform her that the next set of questions (items 15–22) should be answered for the partner she considers to be her primary sexual partner.
- Item 15: If the participant does not know her sexual partner's exact age, record her best estimate. If she is unable to provide an estimate, mark the "don't know" box.
- **Item 18:** Record whether or not the participant's sexual partner provides her with any financial and/or material support. This will include things such as money, housing, food, household goods, etc.
- Item 19: Record the sexual partner's average monthly income (record in local currency). The participant should include all sources of income. Right justify the response and use leading zeros. For example, if the income is 2,145 record: 0002145. If the sexual partner's average monthly income is greater than 9,999,999 write "9999999" in the boxes provided, and record the actual value in the white space near the item. If the partner is unemployed write "00000000" in the boxes provided.

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other, specify: Local Language:

it does not interrupt sex

Acceptability Assessment (AA-1), Page 1

This form is used to collect gel acceptability information from study participants. This is an interviewer-administered form, and it is administered at the first Quarterly visit (Month 3) visit only.

Interview tips:

See Section 13.5 for detailed interviewing techniques.

- Help the participant feel comfortable. Develop a rapport or connection with the participant.
- Avoid re-phrasing items, as doing so can change the meaning of the items and make them inconsistent with other interviews.
- Use probes to help the participant remember an answer, clarify a response, or to help report something more accurately.
- When a participant's response does not match one of the listed response categories, record the participant's verbatim (word-for-word) response on the line labeled "local language" (even if the participant's response is in English). Record the participant's response in the language spoken by the participant. Once the interview is over, go back and translate the text recorded on the "local language" line into English, and record the English translation of the response on the "English" line. If the participant's response was in English originally, leave the "English" line blank.
- It is important for you to review the forms for accuracy and completeness once the interview is complete. By reviewing the form briefly while the participant is still there, you can go back to an item that may have accidentally been skipped.

Item-specific Instructions:

- **Visit Code**: Record the visit code assigned to the visit. See Section 13.3.2 for a more specific information on assigning visit codes. Note that for regularly scheduled (Monthly or Quarterly) visits, the visit code is equal to the month on study + 2.0. For example, Month 3 is assigned a visit code of "05.0," Month 6 is assigned a visit code of "08.0," etc.
- Item 1: This item is completed only for participants assigned to condom-only (no gel). When item 1 is completed (condom-only participants), end the form. Record the Visit Code and PTID on page 2 of this form and fax all pages of this form to SCHARP DataFax. If the participant is assigned to study gel, skip item 1 (leave it blank) and start the interview with item 2.
- Item 3: If a participant randomized to study gel reports that she has not yet used the gel draw a single vertical line through all the responses, and initial and date. If the participant responds "nothing" to this item (meaning there is nothing she likes about the gel), mark the "other, specify" box and record "nothing" on the line provided.

Sample	DO NOT FAX TO DATAFAX Visit Code	1
HPTN	TN 035 Ph II/IIb Microbe (013) AA-2 (372)	Page 2 of 2
Participant	it ID	-
Site Number	Participant Number Chk Acceptability Assessment	
4. Wha	at do you not like about your study gel? Mark all that apply.	
	messy	
	interrupts sex	
	difficult to use, specify: Local Language:	
	English:	
	remembering to use it before sex	
	other, specify: Local Language:	
	English:	
	e last time you used your study gel, what were your partner's reactions to the gel? <i>Mark all that</i> the liked it	apply.
	he did not like it	
	he did not know I used the gel	
	he knew I used the gel, but he had no reaction	
	I don't know	
	other, specify: Local Language:	
	English:	

Acceptability Assessment (AA-2), Page 2

Item-specific Instructions:

- Visit Code: Make sure that the Visit Code recorded on this page matches the Visit Code recorded on page 1 of this form for a given participant and visit. For participants assigned to the condom-only arm, record the Visit Code and PTID on this page, leave the rest of the page blank, and fax both pages of this form to SCHARP DataFax.
- Items 4 and 5: If a participant randomized to study gel reports that she has not yet used the gel draw a single vertical line through all the responses, and initial and date. If the participant responds "nothing" to these items (meaning there is nothing she does not like about the gel), mark the "other, specify" box and record "nothing" on the line provided.

SAM	PLE.	DO NOT FAX TO DATAFAX 035 Ph II/IIb Microbe (013) SAA-1 (471)		David 4.60
Dautia				Page 1 of 3
	Sipant II	Study Exit Acceptability Assessment	sit Date	MMM yy
Items	s 1–2b	are for condom-only cohort. All Gel cohorts, go to item 3.		
1.		el like the ones being tested in this study is found to help prevent people getting HIV, would you want to use it during sex?	yes 	no
	1a.	Why not?	—	► If yes,
		Local Language:	-	go to item 2.
		English:	-	
2.	During	g your participation, did you ever use anyone else's gel?	yes	no ☐─► If no, end of form.
	2a.	Approximately how many times did you use someone else's gel?		
		1 time 2–5 times 6–10 times > 10 times		
	2b.	Can you tell me why you used another participant's gel?		
		Local Language:	-	
		English:		➤ Condom-only cohort, end of form.
3.		study gel is found to help prevent people from getting HIV, would you o use it during sex?	yes	no
	3a.	Why not?	└	► If yes,
		Local Language:	-	go to item 4.
		English:	-	

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SAMPLE English

Study Exit Acceptability Assessment (SAA-1), Page 1

This form is used to collect gel acceptability information from study participants. This is an interviewer-administered form, and it is administered at the Study Exit visit.

Interview tips:

See Section 13.5 for detailed interviewing techniques.

- Help the participant feel comfortable. Develop a rapport or connection with the participant.
- Avoid re-phrasing items, as doing so can change the meaning of the items and make them inconsistent with other interviews.
- Use probes to help the participant remember an answer, clarify a response, or to help report something more accurately.
- When a participant's response does not match one of the listed response categories, record the participant's verbatim (word-for-word) response on the line labeled "local language" (even if the participant's response is in English). Record the participant's response in the language spoken by the participant. Once the interview is over, go back and translate the text recorded on the "local language" line into English, and record the English translation of the response on the "English" line. If the participant's response was in English originally, leave the "English" line blank.
- It is important for you to review the forms for accuracy and completeness once the interview is complete. By reviewing the form briefly while the participant is still there, you can go back to an item that may have accidentally been skipped.

Item-specific Instructions:

- **Visit Code**: Record the visit code assigned to the visit. See Section 13.3.2 for a more specific information on assigning visit codes. Note that for regularly scheduled (Monthly or Quarterly) visits, the visit code is equal to the month on study + 2.0. For example, Month 3 is assigned a visit code of "05.0," Month 6 is assigned a visit code of "08.0," etc.
- Items 1 and 2: These items are completed only for participants assigned to condom-only (no gel). When items 1 and 2 are completed (condom-only participants), end the form. Record the Visit Code and PTID on pages 2 and 3 of this form and fax all 3 pages of this form to SCHARP DataFax. If the participant is assigned to study gel, skip these items (leave them blank) and start the interview with item 3.

SAMPLE: DO NOT FAX TO DATAFAX



HPTN 035 Ph II/IIb Microbe (013) SAA-2 (472)

Page 2 of 3

Particip	Participant ID								
Site Nur	Study Exit Acceptability Assessment Assessment								
4. \	What do you like about your study gel? <i>Mark all that apply.</i>								
[may protect against HIV								
[may protect against STDs/STIs								
[can use without partner's knowledge								
[easy to use								
[method is under her control								
[made sex more pleasurable								
[it does not interrupt sex								
[other, specify: Local Language: English:								
5. \	What do you not like about your study gel? <i>Mark all that apply.</i>								
[messy								
[interrupts sex								
[difficult to use, specify: Local Language:								
	English:								
[remembering to use it before sex								
[other, specify: Local Language:								
	English:								

Study Exit Acceptability Assessment (SAA-2), Page 2

Item-specific Instructions:

- Visit Code: Make sure that the Visit Code recorded on this page matches the Visit Code recorded on page 1 of this form for a given participant and visit. For participants assigned to the condom-only arm, record the Visit Code and PTID on this page, leave the rest of the page blank, and fax all 3 pages of this form to SCHARP DataFax.
- Items 4 and 5: If a participant randomized to study gel reports that she has not yet used the gel draw a single vertical line through all the responses, and initial and date. If the participant responds "nothing" to these items (meaning there is nothing she likes or does not like about the gel), mark the "other, specify" box and record "nothing" on the line provided.

Language

Staff Initials / Date

CAMI	L. DO NOT FAX
JAIIII	LE TO DATAFAX

Participant ID



HPTN 035 Ph II/IIb Microbe (013) SAA-3 (473

Page 3 of 3

lumber	Participant Numb	└── Ass	idy Exit Acceptabil sessment	ity			
The I	ast time you used	your study gel, what	were your partner's re	eactions to the ge	l? Mark	all that ap	oply.
	he liked it						
	he did not like it						
	he did not know I u	sed the gel					
	he knew I used the	gel, but he had no re	eaction				
<u></u>	l don't know						
\Box	other, specify: <i>Loc</i> a	al Language:					
_	Eng	lish:					
Durir 8a.		-	nnyone else's gel?		yes	no □ ►	► If no, go item 9.
o a.			_				
	1 time	2–5 times	6–10 times	> 10 times			
8b.	Can you tell me v	why you used another p	participant's gel?				
	Local Language	v			_		
	English:				-		
Do yo	ou know if anyone	else, even someone	who wasn't in the stu	dy, used	yes	no	
•	-						
- -] [X] 09-NOV-0	4	SAMPLE English		0	1	

Study Exit Acceptability Assessment (SAA-3), Page 3

Item-specific Instructions:

- Visit Code: Make sure that the Visit Code recorded on this page matches the Visit Code recorded on page 1 of this form for a given participant and visit. For participants assigned to the condom-only arm, record the Visit Code and PTID on this page, leave the rest of the page blank, and fax all 3 pages of this form to SCHARP DataFax.
- Item 6: If a participant randomized to study gel reports that she has not yet used the gel draw a single vertical line through all the responses, and initial and date.
- Item 7: This item is completed only for participants assigned to study gel. "Don't know" is **not** an acceptable response for this item—please work with the participant to identify which study gel she thinks she has been using, and mark the corresponding response box.

SAMPLE: DO NOT FAX TO DATAFAX

HPTN 035 Ph II/IIb Microbe (013)

NOT A DATAFAX FORM. DO NOT FAX TO DATAFAX.

	HPTN 035	Ph II/IIb Microbe (013)						F	Page 1 of	f 2
	cipant ID Number	Participant Number	- Chk	Scree Eligib	ening Part 1 vility			Visit Date	MMM	уу	
Sc	creening Att	1st	2nd	3rd	4th	5th	other, speci	ify:			
but re		to ask you some nat we do not hav ntinue?									
1.		urrently participa y-applied produc				yes	no		If yes, pa	rticipan	t
2.		ever had an adv h as latex condo				yes	no		If yes, pa	rticipan	t
3.	given birth	st 6 weeks, have n (including stillb d?	irth), or hac	l a pregna		yes	no		is ineligible If yes, pa may be in at this tin	rticipan neligible	
4.		an to become pr 2 1/2 years)?				yes	no	don't kno	ow If yes, pa is ineligik		t
5.		an to move away s (2 1/2 years)? .				yes 	no	don't kno	•	rticipan	t
6.	3 months	an to be away fro in a row (this inc s (2 1/2 years)?.	ludes seas	onal trave	I) in the next	yes	no	don't kno	ow If yes, pa	rticipan	t
7.	to inject d	et 12 months (1 y rugs that were n nal?	ot prescribe	ed to you l	oy a medical	yes	no		is ineligik If yes, pa is ineligik	rticipan	t
	_ x _] 12-JAN-05		SA	MPLE Englisl	'n		0 1		-	-

Screening Part 1 Eligibility (non-DataFax), Page 1

This interviewer-administered form is used to document the participant's eligibility for the study. If, at any point during the administration of this form, the participant is found to be ineligible, continue administering both pages of this form so that the interview is not stopped abruptly. Because this form is a non-DataFax form, this form should NOT be faxed to SCHARP DataFax.

Note: If a participant is being re-screened, a new Screening Part 1 Eligibility form must be completed as part of the subsequent Screening Attempt. See Section 13.3.2 for more instructions regarding re-screening form completion and transmission procedures.

General Interviewer Tips:

See Section 13.5 for detailed interviewing techniques.

- Help the participant feel comfortable. Develop a rapport or connection with the participant.
- Avoid re-phrasing items, as doing so can change the meaning of the items and make them inconsistent with other interviews.
- Use probes to help the participant remember an answer, clarify a response, or to help report something more accurately.

It is important for you to review the forms for accuracy and completeness once the interview is complete. By reviewing the form briefly while the participant is still there, you can go back to an item that may have accidentally been skipped.

Item-specific Instructions:

Note: There is no visit code field on this form since this form is only administered during screening.

- Screening Attempt Box: See Section 13.3.2 for the definition of a screening attempt. Mark whether this is the first (1st), second (2nd), third (3rd), etc. screening attempt for this participant. If this is the participant's sixth or greater screening attempt, mark the "other, specify" box and clearly write the number on the line provided.
- Item 2: This item is intended to determine if the participant has had any adverse or bad (that is, allergic or irritant) reactions to latex.
- Item 7: This item is intended to determine if the participant has used *non-therapeutic* injection drugs (that is, has she injected drugs that were not given to her for a medical purpose) in the 12 months prior to this visit.

SAMPLE: DO NOT FAX TO DATAFAX

NOT A DATAFAX FORM. DO NOT FAX TO DATAFAX.

HPTN 035 Ph II/IIb Microbe (013)

HPTN 035 Ph	II/IIb Microbe (0	013)						Page 2 d	of 2
Participant ID									
Site Number P	articipant Number	- Chk	Screei Eligibi	ning Part 1 ility					
Screening Atten	1st	2nd	3rd	4th	5th	other, specify	:		
I am now going to a understanding sex									
Are you ready to co	ontinue?								
By vaginal s	months, have ex, I mean wh agina	en a man p	uts his per	nis	yes	no	► If no, p ineligik	articipant is ble.	
9. In the past 2 had vaginal	weeks, how r	many times	have you				► If > 28, ineligik	participant is ble.	
Comments:									

Screening Part 1 Eligibility (non-DataFax), Page 2

Item-specific Instructions:

- **Screening Attempt Box:** The screening attempt marked on this page must match the screening attempt marked on page 1 of this form.
- Item 8: This item determines if the participant is sexually active as defined by the protocol.
- Comments: Record any necessary comments on the comments lines at the bottom of the form.

SAMPLE: DO NOT FAX TO DATAFAX

NOT A DATAFAX FORM. DO NOT FAX TO DATAFAX.

	HPTN 035 Ph II/IIb Microbe (013)			Page 1 of 1
	Screening Part 2 E	ligibility	Visit Date	MMM yy
Sc	1st 2nd 3rd 4th 5i	th other, sp	pecify:	
 1. 2. 3. 	Is this visit being conducted within 30 days of when the participant provided written informed consent for screening? Is the participant HIV-negative based on testing performed by study staff? Does the participant have documented negative pregnancy	yes	no ineligi □ ► If no, μ	participant is ble. End of form. participant is ble. End of form.
4.	tests performed by study staff at all Screening Visits, including this one? Phase II participants only: Does the participant have any Grade 3 or higher laboratory abnormalities based on hematology, liver and renal function, and coagulation testing performed by study staff?		If no, µ	oarticipant is ble. End of form.
5.	Phase Ilb participants only: Does the participant have any Grade 4 or higher laboratory abnormalities based on hematology and coagulation testing performed by study staff?	yes	☐ —►If yes, pa	. End of form. rticipant is
To co quest	nfirm your eligibility for the study, I need to ask you two more ions.		ineligible	. End of form.
6.7.	Are you currently participating in any other study of a vaginally-applied product? In the past 6 weeks, have you been pregnant, given birth (including stillbirth), or had a pregnancy	, 	no If yes, par is ineligib	_
	terminated?	P I		uticipant io
Com ₁ 8.	Does the participant have any condition that, based on the opinion of the investigator or designee, would preclude provision of informed consent, make participation in the study unsafe, complicate interpretation of study outcome data, or otherwise interfere with achieving the study objectives?	yes	→ If yes, paineligible	rticipant is . End of form.

09-NOV-04

X

Screening Part 2 Eligibility (non-DataFax)

This form is used to document the participant's eligibility for the study. This is a mixed form—some of the items are interviewer-administered (items 6 and 7), while other items are not. Because this form is a non-DataFax form, this form should NOT be faxed to SCHARP DataFax.

Note: If a participant is being re-screened, a new Screening Part 2 Eligibility form must be completed as part of the subsequent Screening Attempt. See Section 13.3.2 for more instructions regarding re-screening form completion and transmission procedures.

General Interviewer Tips:

See Section 13.5 for detailed interviewing techniques.

- Help the participant feel comfortable. Develop a rapport or connection with the participant.
- Avoid re-phrasing items, as doing so can change the meaning of the items and make them inconsistent with other interviews.
- Use probes to help the participant remember an answer, clarify a response, or to help report something more accurately.

It is important for you to review the forms for accuracy and completeness once the interview is complete. By reviewing the form briefly while the participant is still there, you can go back to an item that may have accidentally been skipped.

Item-specific Instructions:

- Screening Attempt Box: See Section 13.3.2 for the definition of a screening attempt. Mark whether this is the first (1st), second (2nd), third (3rd), etc. screening attempt for this participant. If this is the participant's sixth or greater screening attempt, mark the "other, specify" box and clearly write the number on the line provided.
- Items 1–5: These items are NOT interviewer administered and should not be read aloud to the participant.
- Item 4: This item is completed only for participants screening for the Phase II portion of the study. If the participant is being screened for phase IIb, skip item 4 (leave it blank).
- **Item 5:** This item is completed only for participants.screening for the Phase IIb portion of the study. If the participant is being screening for Phase II, skip item 5 (leave it blank).
- Items 6 and 7: These items were also asked during the Screening Part 1 visit. They must be asked again in order to confirm the participant's eligibility for the study per the inclusion/exclusion criteria stated in the protocol.
- Item 8: This is NOT an interviewer-administered item. This item should be completed by the site investigator or his/her designee once the Screening Part 2 visit has been completed. If, for some reason other than those listed on any of the Screening forms, the investigator or designee feels the participant is **not** a qualified candidate for the study, mark the "yes" box, record the reason in the space provided, and do not enroll the participant in the study.